# Sparse Needlets for Lighting Estimation with Spherical Transport Loss: Supplementary Materials

# Fangneng Zhan

# 1. Appendix Outline

Lighting estimation is a classic challenge in computer vision and computer graphics, and it is critical for realistic relighting in objects insertion and image synthesis [12, 1, 10, 14, 29, 2, 28, 30, 21, 22, 31]. On the other hand, the recent works aim to estimate lighting from images by regressing representation parameters [3, 6, 13] or generating illumination maps [8, 16] based image translation [19, 4, 15, 17, 34, 26, 33, 24, 25, 23, 32, 27, 20].

This appendix provides more details on the derivation of sparse needlets, cubature points, spatially-varying illumination, and spherical transport distance.

## 2. Derivation of Sparse Needlets

We derive the sparse Needlet function from a Bayesian framework and form the problem as a maximum posterior estimator. We assume that the needlet coefficients of light sources *s* follows Laplace distribution prior as Laplace prior is well adapted to model sparse signals [11]. For HDR images, the ambient is mainly determined by the light sources in the scenes. We assume that the needlet coefficients of ambient contributed by each light source follow Gaussian distribution with 0 mean [5]. The needlet coefficients of ambient can thus be treated as several independent Gaussian distributions. The needlet coefficients  $\beta$  of the illumination map can be modelled as follows:

$$\beta = \underbrace{s}_{light \ sources} + \underbrace{\phi}_{ambient} + \underbrace{\eta}_{noise} \tag{1}$$

where s denotes the needlet coefficients of sparse light sources which follow Laplace distribution,  $\phi$  denotes the needlet coefficients of ambient which follow Gaussian distribution, and  $\eta$  denotes noises that follow a Gaussian distribution. According to [18], the Bayesian formulation of the problem aims to maximize  $P(s|\beta)$ :

$$P(s|\beta) \propto P(s)\mathcal{L}(\beta|s) = P(s) \int \mathcal{L}(\beta|s,\phi)P(\phi)d\phi$$
 (2)

As the noise  $\eta$  follows Gaussian distribution and the background follows n independent Gaussian distributions, we can get the formulations:

$$\mathcal{L}(\beta|s,\phi) = N(\beta;\phi+s,M_{\eta})$$
$$P(\phi) = N(\phi,0,M_{\phi}) = \exp\left[-\frac{1}{2}\phi^{T}M_{\phi}^{-1}\phi\right]$$
(3)

where  $M_{\eta}$  and  $M_{\phi}$  denote the covariance matrices of the noise and Guassian distribution, respectively. Thus we can obtain:

$$\mathcal{L}(\beta|s,\phi)P(\phi) \propto \exp\left[s^{T}M_{\eta}^{-1}\beta - \frac{1}{2}s^{T}M_{\eta}^{-1}s - \frac{1}{2}\beta^{T}M_{\eta}^{-1}\beta\right] \cdot \exp\left[-\frac{1}{2}\phi^{T}(M_{\phi}^{-1} + M_{\eta}^{-1})\phi + \phi^{T}(M_{\eta}^{-1}\beta - M_{\eta}^{-1}s)\right]$$
(4)

According to the Gaussian integration,

$$\int \exp\left[N^T x - \frac{1}{2}x^T M x\right] dx = \sqrt{\frac{2\pi}{\det M}} \exp\left[\frac{1}{2}N^T M^{-1}N\right]$$
(5)

we can derive:

$$\mathcal{L}(\beta|s) = \int \mathcal{L}(\beta|s,\phi) P(\phi) d\phi \propto \\ \exp\left[-\frac{1}{2}\beta^T M_{\eta}^{-1}\beta + s^T M_{\eta}^{-1}\beta - \frac{1}{2}x^T M_{\eta}^{-1}s\right] \cdot \tag{6}$$
$$\exp\left[\frac{1}{2}(M_{\eta}^{-1}\beta - M_{\eta}^{-1}s)^T (M_{\eta}^{-1} + M_{\phi}^{-1})(M_{\eta}^{-1}\beta - M_{\eta}^{-1}s)\right]$$

Maximizing  $P(s|\beta) = \mathcal{L}(\beta|s) * P(s)$  is equivalent to minimizing  $\partial_s(-log(P(s|\beta)))$ . As we assume that s follows a Laplace distribution with 0 mean, namely,  $P(s) \propto \exp[-\lambda ||s||]$ , we can obtain the partial derivative with respect to x as follows:

$$\partial_{s}(-log(P(s|\beta))) = -(M_{\eta} + M_{\eta}M_{\phi}^{-1}M_{\eta})^{-1}s + M_{\eta}^{-1}s + (M_{\eta} + M_{\eta}M_{\phi}^{-1}M_{\eta})^{-1}\beta - M_{\eta}^{-1}\beta + \lambda\partial_{s}||s||$$
(7)

So sparse function to maximize  $P(s|\beta)$  is:

$$s = \beta - \left[M_{\eta}^{-1} - \left(M_{\eta} + M_{\eta}M_{\phi}^{-1}M_{\eta}\right)^{-1}\right]^{-1}\lambda\partial_{s}|s| \qquad (8)$$

where  $\partial_s(|s|) = \begin{cases} 1 & if \ s > 0 \\ -1 & if \ s < 0 \end{cases}$ . Thus we can obtain the thresholding as follows:

$$sgn(\beta)(|\beta| - \left[M_{\eta}^{-1} - (M_{\eta} + M_{\eta}M_{\phi}^{-1}M_{\eta})^{-1}\right]^{-1}\lambda)_{+} \quad (9)$$

which is essentially a soft thresholding operator with threshold  $(M_{\eta}^{-1} - (M_{\eta} + M_{\eta}M_{\phi}^{-1}M_{\eta})^{-1})\lambda$ .

As described in [7], we can separate light source and ambient region by thresholding illumination maps. The needlet coefficients of ambient  $\phi$  and covariance matrice  $M_{\phi}$  can thus be computed, and s can be further computed by Eq. 8 with known  $M_{\phi}$ . Computing s directly on thresholded light-source region is the same as hard thresholding (HT), which performs worse than the proposed sparse function (soft thresholding) as shown in Table 3 of the manuscript. Specially, we only apply sparse function to high-frequency coefficients (j=3 in this work). Therefore, the coefficients s of high frequency bands are sparse but those of lowfrequency bands (i.e.  $s+\phi$  which preserves the ambient  $\phi$ ) are not sparse.

#### **3.** Cubature Points

Cubature points and cubature weights are provided by the HEALPix discretization of the sphere [9]. The HEALPix grid discretizes the sphere into  $N_{pix}$  pixels with equal area, where  $N_{pix} = 12N_{side}^2$  and Nside is required to be a power of two which measures the discretization resolution. We specify the cubature weights  $\lambda_{jk}$  as  $\lambda_{jk} = \frac{4\pi}{N_{pix}}$ . There are 1, 12, 48, 192 needlet coefficients for frequency order j = 0, 1, 2, 3 respectively. The spatial localization of needlet coefficients are indicated by cubature points on a unit sphere. Fig. 1 illustrate cubature points for j = 1, 2, 3by panoramas of spheres.

### 4. Spatially-varying Illumination

For Laval Indoor dataset [8], spatially-varying illumination cannot be predicted directly as there is no corresponding ground truth in this dataset. We thus employ cubature points to approximate the spatially-varying illumination, more details to be described as follows.

We recall the definition of needlet basis  $\psi_{jk}$  and needlet coefficients  $\beta_{jk}$ :

$$\psi_{jk}(x) = \sqrt{\lambda_{jk}} \sum_{l=\lceil B^{j-1}\rceil}^{\lfloor B^{j+1}\rfloor} b(\frac{l}{B^j}) \sum_{m=-l}^{l} Y_{lm}(\xi_{jk}) \overline{Y}_{lm}(x)$$

$$\beta_{jk} = \sqrt{\lambda_{jk}} \sum_{l=0}^{\infty} b(\frac{l}{B^j}) \sum_{m=-l}^{l} a_{lm} Y_{lm}(\xi_{jk})$$
(10)

where  $x \in \mathbb{S}^2$ ,  $\xi_{jk}$  and  $\lambda_{jk}$  are pre-defined cubature points as shown in Fig. 1 and the associated cubature weights, respectively. The illumination map I(x) can be reconstructed via  $I(x) = \sum_{j,k} \beta_{jk} \psi_{jk}(x)$ . Obviously, the illumination map is reconstructed based on cubature points. Thus we approximate spatially-varying illumination by moving the coordination cubature points.

When we move the insertion position by  $\nabla \xi$ , the new direction of the cubature point jk can be denoted by  $\xi_{jk} + \nabla \xi$ . Then the needlet basis  $\psi'_{jk}$  on the new insertion position can be denoted by:

$$\psi_{jk}(x)' = \sqrt{\lambda_{jk}} \sum_{l=\lceil B^{j-1} \rceil}^{\lfloor B^{j+1} \rfloor} b(\frac{l}{B^{j}}) \sum_{m=-l}^{l} Y_{lm}(\boldsymbol{\xi}_{jk} + \boldsymbol{\nabla}\boldsymbol{\xi}) \overline{Y}_{lm}(x)$$
(11)

With the predicted needlet coefficients, illumination map at a new insertion position can be reconstructed by:  $I(x)' = \sum_{j,k} \beta_{jk} \psi_{jk}(x)'$ . Fig. 2 shows the reconstructed spatially-varying illumination at different insertion positions.

## **5. Spherical Transport Distance**

Spherical transport distance can compute the distance between two masses distributed on a sphere effectively. We compare the spherical transport distance and L2 distance with a simple example as illustrated in Fig 3.



Figure 1. Visualization of cubature points (j = 1, 2, 3) on a unit sphere.



Figure 2. Estimated spatially-varying illumination maps at different insertion positions (Center, Left, Right, Up, and Down).



Figure 3. Comparison between spherical transport distance and L2 distance: Take the red and blue masses in the first graph as two identical spherical distributions. When the blue mass is moving along a great circle as indicated by the blue arrow, the two graphs on the right show the distances between the two distributions that are measured by L2 distance and spherical transport distance, respectively.

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